

May 20, 2008

Our File No: 11483-174

INTERVIEW SUMMARY

The Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
U.S.A.

Dear Sir:

Re: U.S. Patent Application No. 10/828,269
RISK MANAGEMENT SYSTEM AND METHOD PROVIDING RULE-BASED
EVOLUTION OF A PORTFOLIO OF INSTRUMENTS
Filing Date: April 21, 2004
Applicants: DEGRAAF, Jim

This is further to a telephone interview between the Examiner and the Applicants' Representative (Kendrick Lo) that was held on April 15, 2008. Claims 11 and 18 were discussed and an agreement with respect to the claims was reached. The Examiner agreed to make an Examiner's Amendment to the claims and specification, as reflected in the Notice of Allowance mailed May 6, 2008.

Respectfully submitted,
BERESKIN & PARR
Agents for the Applicants



per: Kendrick Lo
Registration No. 54,948
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